



Attorney Docket No. 03660.P026

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

in re Application of:)
Sam E. Kinney Jr., et al.)
Application No.: 09/490,867) Examiner: Bashore, Alain L.
Filed: January 24, 2000) Art Unit: 3624
For: Method And Apparatus For Correcting)
Failures With Participant Isolation In)
A Dutch Auction)

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications). It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

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03/31/2005 NHOLDE1 00000030 09490867

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Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

37 C.F.R. §1.97(b).

X 37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:

A statement pursuant to 37 C.F.R. §1.97(e) or

X A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).

37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:

(1) A statement pursuant to 37 C.F.R. §1.97(e); and

(2) A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement.

If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: March 28, 2005



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(use as many sheets as necessary)

Sheet	TRADE	MAR	1	of	4	Attorney Docket Number	03660.P026
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U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (If known)			
		US- 3,863,060	1/28/1975	Rode et al.	
		US- 4,597,045	6/24/1986	Kiuchi	
		US- 4,799,156	1/17/1989	Shavit et al.	
		US- 5,629,982	5/13/1997	Micali	
		US- 5,640,569	6/17/1997	Miller et al.	
		US- 5,799,285	8/25/1998	Klingman	
		US- 5,803,500	9/8/1998	Mossberg	
		US- 5,809,483	9/15/1998	Broka et al.	
		US- 5,826,244	10/20/1998	Huberman	
		US- 5,832,496	11/3/1998	Anand	
		US- 5,862,223	1/19/1999	Walker et al.	
		US- 5,897,621	4/27/1999	Boesch et al.	
		US- 5,905,974	5/18/1999	Fraser et al.	
		US- 5,905,975	5/18/1999	Ausubel	
		US- 5,915,209	6/22/1999	Lawrence	
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		US- 6,016,344	1/18/2000	Katz	
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		US- 6,243,691 B1	6/5/2001	Fisher et al.	
		US- 6,266,652 B1	7/24/2001	Godin et al.	
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				Application Number	09/490,867
				Filing Date	01/24/2000
				First Named Inventor:	Sam E. Kinney JR
				Art Unit	3624
				Examiner Name	Bashore, Alain L.
Sheet	2	of	4	Attorney Docket Number	03660.P026

Examiner Signature		Date Considered	
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Sheet	3	of	4	Art Unit	3624
				Examiner Name	Bashore, Alain L.
				Attorney Docket Number	03660.P026

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
		ANTON, J., et al, "Split Awards, Procurement, and Innovation," RAND Journal of Economics, Vol. 20, No. 4, Winter 1989, pages 538-552.	
		AUCTION, PROCEDURES, TERMS AND CONDITIONS, Tab B, retrieved from http://wireless.fcc.gov/auctions/18/releases/220_b.pdf#search='AUCTION,%20PROCEDURES,%20TERMS%20AND%20CONDITIONS%20 , December 1997, pages 91-112	
		Boston Globe, "Venture Capitalists Fund Two Massachusetts Internet Related Companies," January 14, 1998, 2 pages.	
		Business Editors and Computer Writers, "Moai Technologies: Moai Technologies Introduces New Category of Business-to-Business Auction Software That Enables "Virtual Private Marketplaces," March 16, 1998, 2 pages.	
		Business Wire, "Broadvision Developing First Interactive Commerce Management System to Support Online Sales & Marketing Process; New Software Category Necessary to Interactive Network Architecture," May 15, 1995, 2 pages.	
		Business Wire, "FairMarket Launches New Self-Serve Auctions," June 16, 1998, 1 page.	
		Computer Finance, "Sold!... To the Lowest Bidder," Vol. 6, No. 2, July 1995, 8 pages.	
		Electronic Buyers' News, "Online Bidding Software Updated," Issue No. 1072, August 25, 1997, 1 page	
		KIKUCHI, et al., "Multi-Round Anonymous Auction Protocols," TIEICE: IEICE Transactions on Communications /Electronics/Information and Systems, Proceedings of IEEE Workshop on Dependable and Real-Time E-Commerce Systems (DARE'98), June 1998, 8 pages.	
		LEE, Ho Geun, "Do electronic marketplaces lower the price of goods?" Communications of the ACM, Vol. 41, No. 1, January 1998, 10 pages.	

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Sheet 4 of 4 Attorney Docket Number 03660.P026

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Art Unit	3624
Examiner Name	Bashore, Alain L.

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
		RECK, M., "Types of Electronic Auctions," Hochschule St. Gallen, 1994, pages 236-243.	
		SAIRAMESH, J., et al., "Economic Framework for Pricing and Charging in Digital Libraries," D-Lib Magazine, February 1996, 11 pages.	
		SIMMONS, P., et al., "Procurement Auctions with Multiple Sourcing," University of York Discussion Papers in Economics, No. 95/11, 1995, pages 1-29.	
		VIGOROSO, M., "Buyers prepare for brave new World of E-Commerce," Purchasing, Vol. 126, No. 6, April 22, 1999, 5 pages.	
		VON DET FEHR, et al., "Predatory bidding in Sequential Auctions," Oxford Economic Papers Vol. 46, No. 3, July 1994, 9 pages.	
		Washington Telecom News, "What you need to know to bid in FCC's Narrowband Auction," Vol. 2, No. 26, June 27, 1994, 2 pages.	
		WRIGLEY, C., "Design Criteria for Electronic Market Servers," Electronic Markets, Vol. 7, No. 4, 1997, pages 12-16.	
		WURMAN, P., et al., "The Michigan Internet AuctionBot: A Configurable Auction Server for Human and Software Agents," Artificial Intelligence Laboratory, University of Michigan, May 13, 1998, 8 pages.	

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